Welcome to the 2020 Virtual Academic Personnel Search Workshop

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You will receive an email when a copy of this presentation is available.

The 2019 presentation is currently available at: https://provost.asu.edu/academic-personnel/search
Diversity & Recruitment Training

Faculty & Academic Professional Positions

Office of the University Provost
Fall 2020
ASU Charter

ASU is a comprehensive public research university, measured not by whom it excludes, but by whom it includes and how they succeed; advancing research and discovery of public value; and assuming fundamental responsibility for the economic, social, cultural and overall health of the communities it serves.
Eight design aspirations guide ASU’s ongoing evolution as a New American University. ASU integrates these institutional objectives in innovative ways to demonstrate excellence, access and impact.

Leverage Our Place
ASU embraces its cultural, socioeconomic and physical setting.

Transform Society
ASU catalyzes social change by being connected to social needs.

Value Entrepreneurship
ASU uses its knowledge and encourages innovation.

Conduct Use-Inspired Research
ASU research has purpose and impact.

Enable Student Success
ASU is committed to the success of each unique student.

Fuse Intellectual Disciplines
ASU creates knowledge by transcending academic disciplines.

Be Socially Embedded
ASU connects with communities through mutually beneficial partnerships.

Engage Globally
ASU engages with people and issues locally, nationally and internationally.
Basic guidance and principles for searches
ASU Commitment to Diversity in Employment

Arizona State University will:

• Recruit and hire the **most qualified** applicants.

• Recruit, retain, and develop a **diverse** qualified workforce.

• Comply with EO/AA principles and state and federal laws.

• Hold each individual with authority to hire accountable for recruitment, retention and development of a diverse workforce.

• Apply ABOR and ASU policies consistently
Policies & resources

Faculty and Academic Professional Search Handbook, Faculty Search (APARS) resources, PowerPoint presentation, sample documents, etc. on the provost’s webpage for Faculty and Academic Professional Search

Strength in People
FACULTY AND ACADEMIC PROFESSIONAL SEARCH HANDBOOK

"Using the University’s strengths for more successful searches"
Policies & resources

Faculty Search (APARS)

• Effective July 1, 2019, all new academic personnel searches on the 2020-21 fiscal year hiring plan (both competitive and targeted) for faculty and academic professional positions at ASU must be conducted through the Academic Personnel Actions Reporting System Faculty Search.

• The only exceptions are Faculty Associate and Academic Associate positions, which may be conducted outside Interfolio if the hiring unit so chooses.

• Academic Personnel Actions Reporting System (APARS): Faculty Search
  https://provost.asu.edu/academic-personnel/faculty-search
Policies & resources

Related EO/AA policies:

- ACD 401; SPP 101 – Equal Opportunity/Affirmative Action

- ACD 405; SPP 105 – Americans with Disabilities

- ABOR 1-119 & ABOR 1-120 addresses non-discrimination matters
Failed searches

A successful search may come up empty, but a failed search is one in which the **wrong** person was hired.
Some keys to successful searches

- Consider what you really need in the position you are filling: essential functions, required qualifications
- Think about ways to embed diversity as an intellectual/academic component of the job
- Look for candidates who can advance our charter and support our students
- Conduct a broad look in the marketplace for potential colleagues – this improves the quality and diversity of your search
- Move quickly and advance your search
- Use rolling deadlines – keeps your options open
Some successful strategies for increasing diversity
Recruitment strategies for enhancing faculty diversity

- Create job descriptions that clearly address ASU’s dedication to diversity so that diverse groups of highly qualified candidates are inclined to apply.

- Review programs for premier conferences in your discipline and those of disciplinary organizations.
  - When attending these conferences, participate in the various caucuses devoted to diverse fields of study and attend panels that feature faculty and/or graduate students interested in such topics.
Further suggestions to identify a diverse pool of candidates

- Identify the top 5-10 candidates who are from under-represented backgrounds publishing in your discipline.

- Contact the top 10 departments in the nation and collaborate to identify:
  - Recent and soon-to-be PhDs and post-docs (as potential new assistant professor hires).
  - Senior scholars (as potential speakers or visiting scholars)

- From the above efforts, generate a list of 10-15 such candidates for potential hire on tenure track.
Advertise in outlets that reflect diverse constituencies

- **General:** *Diverse: Issues in Higher Education* (formerly *Black Issues in Higher Education*); see http://diverseeducation.com (also note their “*Top 100 Producers of Minority Degrees*”)

- **Native American:** *Tribal College Journal of American Indian Higher Education*, *Native Peoples Magazine* (not directly aimed at scholars, but many NA faculty members subscribe), *Indian Country Today* (same as above, international in scope)
  - Scholarly journals that include ads: *Studies in American Indian Literatures*, *American Indian Culture and Research Journal*, *American Indian Quarterly*, and *Wicazo Sa Review*. 
Advertise in outlets that reflect diverse constituencies (cont’d)

  
Advertise in outlets that reflect diverse constituencies (cont’d)

- **Hispanic**: *Hispanic Outlook*, American Association of Hispanics in Higher Education


- **listservs (low cost) that reach out to diverse populations**:
  
  Sisters of the Academy:  

Require a diversity statement
Search committees
Search committees

- **Hiring authority** (chair, dean or provost) determines the search committee’s charge in keeping with unit and college bylaws and university policy.

- **Search Committee** may be asked to:
  - Develop position announcement(s)
  - Screen applications
  - Conduct interviews
  - Conduct reference checks
  - Make reports to the hiring authority.

  *Normally, reports list each candidate’s strengths/weaknesses, but do not rank candidates.*
Critical points for search committees

• Actively develop diverse applicant pool for the position
• Proactively address incomplete applications
• Chair of the committee is the primary contact with applicants
• Maintain confidentiality throughout search

• Develop criteria to evaluate applicants BEFORE looking at applicant pool
• Develop an interview agenda & questions
• Committee Chair or designee should attend interview functions to ensure inappropriate topics are avoided
Video

https://www.youtube.com/watch?v=g3Dh2Bzi2ag&feature=youtu.be
Search process
Faculty and Academic Professional Search Plan (FAP-SP)

Initiated by: The unit hiring authority or designee.

Approvals: The unit hiring authority or designee.

Purposes:
• Documents the **essential functions** for every position for which a search is conducted.
• Documents **recruitment strategies** to be used to ensure a qualified, diverse, and inclusive applicant pool

Submit to: Office of Diversity, Equity and Inclusion for assignment of a job order number and posting to the ASU employment website. Any ad copy developed to support external recruitment efforts must be submitted with the FAP-SP. (Must be submitted to the Office of Diversity, Equity and Inclusion and posted on ASU page before posting at other sites.)
**Framing your search:**

- Essential functions
- Required qualifications
- Desired qualifications
Statement of **essential functions**: the core or required duties of a position

**Example:** Advertisement for Assistant/Associate Professor

- Contribute to curriculum development
- Deliver instruction at the undergraduate, master’s, and doctoral level
- Supervise honors, master’s and doctoral Students
- Conduct research publishable in top-tier academic journals in the discipline
- Participate in professional and university service
- Develop grant proposals for external funding as PI or co-PI.

- Identifies the key abilities needed for a person to succeed in job.
- A statement of **essential functions** is required by the Americans with Disabilities Act. Though not required to be included in advertisements, **ASU must have these on file for every position.**
- This statement guides the recruitment and selection activities.
Required qualifications

• Required qualifications ensure that an applicant can perform the essential functions of the position.

• These qualifications should be specific enough (i.e., measurable) to assure the hiring authority that applicants will have the necessary education, experience, and/or knowledge to perform the essential functions.

  • If terminal degree is required, please specify whether it needs to be in-hand at time of application or completed by time of appointment.

• Only applicants meeting the required qualifications are eligible for further consideration in the search.
Desired qualifications

- Desired qualifications are those that would enhance an applicant’s ability to perform the essential functions of a position.

- The initial assessment of applicants is based on whether applicants meet the required qualifications. Secondary assessment may consider whether applicants meet any of the desired qualifications.
Advertising:
Some legal requirements
Advertising position openings

For faculty positions, only an electronic ad is required. You may choose to use a mix of print and online advertisements as well as direct contact.

PLEASE NOTE:

A copy of the advertisement must be sent to the Office of Diversity, Equity and Inclusion for immediate posting to the ASU Job Opportunities Web page. This is not for approval purposes, but to ensure compliance with a federal regulation that requires government contractors to advertise internally to allow for promotional opportunities. This step must happen before ad is posted in other sources.
Federal law considerations for advertising position openings

- Searches that might lead to the hiring of an individual who is not a U.S. citizen have **specific** advertising requirements.

- ASU must demonstrate that a competitive recruitment and selection process was used and that the foreign national was more qualified than any U.S. worker applicant.

- ASU can demonstrate this through use of the protocols set forth in the Faculty and Academic Professional Search Handbook.
Federal law considerations for advertising position openings

In conducting an open and competitive search, electronic or web-based national professional journals may be used in lieu of a print journal to satisfy the provision found at 20 CFR 656.18(b)(3), which requires use of a national professional journal for advertisements for college or university teachers.

The advertisement for the job opportunity for which certification is sought must be posted for at least 30 calendar days on the journal’s website.
Federal law considerations for advertising position openings

Documentation of the placement of an advertisement in an electronic or web-based national professional journal must include evidence of the start and end dates of the advertisement placement and the text of the advertisement. An example of a national outlet that meets these criteria is *The Chronicle of Higher Education*.

If a search process does not include one national electronic or print ad and the finalist turns out to be a non-U.S. citizen, the hiring department will need to re-recruit for the position to meet U.S. Department of Labor (DOL) certification requirements.
Federal law considerations for advertising position openings

While the national electronic or print ad is necessary, it alone is not sufficient to satisfy Department of Labor requirements — evidence of other recruitment sources utilized is also required.

The Department of Labor does not specify what those other sources need to be, but it is clear that more than just the national electronic or print ad is required.
A special point about advertising ACADEMIC PROFESSIONAL openings

In conducting an open and competitive search for an Academic Professional that does not have teaching responsibilities, **one full print advertisement must appear in a national advertising venue** (e.g., *The Chronicle of Higher Education*).

If a search process does not include one national print ad and the finalist turns out to be a non-U.S. citizen, the hiring department will need to re-recruit for the position to meet U.S. Department of Labor (DOL) certification requirements.
A special point about advertising
ACADEMIC PROFESSIONAL openings

Just as before, while the national print ad is necessary, *it alone is not sufficient to satisfy DOL requirements* — evidence of other recruitment sources utilized is also required.

The DOL does not specify what those other sources need to be, but it is clear that more than just the national print ad is required.
EO/AA statements in advertisements

Federal affirmative action regulations require inclusion of an equal opportunity statement in all ASU publications, including advertisements. The following statement must be included in advertisements and openings:

Arizona State University is a VEVRAA Federal Contractor and an Equal Opportunity/Affirmative Action Employer. All qualified applicants will be considered without regard to race, color, sex, religion, national origin, disability, protected veteran status, or any other basis protected by law.

Searches posted through Faculty Search (APARS) will already auto-generate this statement and all required links to the advertisement.

Searches done outside Faculty Search (APARS) with electronic advertisements will need a link to ASU’s full non-discrimination statement (ACD 401) at https://www.asu.edu/aad/manuals/acd/acd401.html, and also a link to the Title IX policy at https://www.asu.edu/titleix.
The Clery Act requires ASU to provide prospective employees with a notice of availability of the Annual Security and Fire Safety Report. The following statement **must** be included in advertisements and openings:

In compliance with federal law, ASU prepares an annual report on campus security and fire safety programs and resources. ASU’s Annual Security and Fire Safety Report is available online at [https://www.asu.edu/police/PDFs/ASU-Clery-Report.pdf](https://www.asu.edu/police/PDFs/ASU-Clery-Report.pdf). You may request a hard copy of the report by contacting the ASU Police Department at 480-965-3456.

Searches posted through **Faculty Search (APARS)** will already auto-generate this statement and all required links to the advertisement.

Searches done **outside Faculty Search (APARS)** with electronic advertisements will need a link to the Clery Report.
Choosing deadlines
Choosing application deadlines

Application deadlines provide applicants assurance that the unit will treat each of them consistently as well as offer a general timeline for assessing when interviews might occur.

A deadline of “open until filled” provides NO assurance of equal opportunity and should be avoided. Instead, for flexibility, a rolling application deadline language should be used:

Application deadline is (date). Applications will continue to be accepted on a rolling basis for a reserve pool. Applications in the reserve pool may then be reviewed in the order in which they were received until the position is filled.
Choosing application deadlines

• If you are advertising for *a month or less*, per ACD 505-06, the minimum time span FROM the date the last ad/announcement appears in the advertising source (or is received by the advertising source in the case of notification of organizations/schools/individuals) TO the application deadline is:
  
  Local/State: 5 calendar days  
  Regional: 10 calendar days  
  National:/International: 14 calendar days

• If you are advertising for *a month or longer*, the deadline may be as early as 30 + 14 days after the advertisement first appears for a national search, or 30 + 10 for a regional search, or 30 + 5 days for a local/state search.
Getting closer... the Applicant List
Applicant List

Documents the names and demographic data of all applicants for a Faculty or Academic Professional position, along with the reasons that an applicant was interviewed (or not interviewed) and hired (or not hired)

https://provost.asu.edu/academic-personnel/personnel-processes/academic-personnel-forms

The information required for the Applicant List will be pulled in a report from Interfolio Faculty Search (APARS).

Initiated by: Unit hiring authority or designee.

Approvals: Unit hiring authority or designee, dean, and provost

Submit to: Office of Diversity, Equity and Inclusion within 30 days of the hire(s) being made or the close of the search – whether or not a hire was made.

Comments: The data contained in the Applicant List are collected and reported annually as part of the ASU Affirmative Action Plan. This form is reviewed for completeness.
Equal Employment Opportunity Survey

• ASU seeks to promote diversity in hiring in order to hire the most qualified applicants.

• Each applicant should be strongly encouraged to fill out the EEO survey to provide ethnicity, gender, and veteran status information for the pool. This is the only place this information is gathered.

• The EEO survey is automatically offered to applicants completing applications through Faculty Search (APARS).

• Searches done outside Faculty Search can find the EEO survey at https://asu.co1.qualtrics.com/jfe/form/SV_3kLY1mgVY2Vfyzb
  – You will need to provide the applicant the job order number for your position, the job title, and the department name.
  – This information MUST be kept separate from all application materials.
Finishing the search:

References for candidates
Evaluating applicants
Conducting interviews
Checking references

- Reference checks for all applicants or for all finalists can be done at any time during a search.

- Applicants should be notified if references are being contacted beyond those provided.

- The same basic job-related questions are asked of each reference. You can follow up on statements offered by references to explore what they mean but at minimum, all references should be asked the same questions.

- Notes from references are kept with the position file.

- A current ASU employee finalist may have personnel file reviewed (e.g. move from lecturer in one unit to asst professor in another).

- Direct knowledge includes direct supervision or experience working directly with the applicant.

- Unsolicited reference information: Committee Chair must decide whether this information will be shared within the committee.
Evaluating applicants

The critical equal opportunity issue is assuring consistency toward the applicants in the review process.
Diversity Statements
How to Read and Evaluate a Diversity Statement

Pardis Mahdavi and Scott Brooks
It is important that universities ask for a diversity statement as part of faculty applications.

Every hire is a diversity hire, because we know that every new hire impacts JEDI – Justice, Equity, Diversity, Inclusion.

Our hope is that today’s leaders make positive impacts contributing to the greater inclusion, retention, and success of faculty, staff and students of color.

We believe that sharing examples can help faculty and students. For students, this should be a part of socialization since writing a diversity statement may become a standard for applications and this will help faculty on other search committees and for general understanding.
Diversity Statements and Searches

- Search committees at colleges and universities nationwide increasingly require candidates applying for faculty or leadership positions to submit a diversity statement.

- And while we have developed some guidelines in writing such statements, frequently, search committees do not know how to evaluate this component of the application.
Ex: Faculty Hire in a Leadership Position

• We recently sat on a committee looking to make a faculty hire in a leadership position. For our search, the diversity statement was one of 3 materials requested.

• But many members of our committee did not know how to evaluate what amounted to one-third of the materials provided.

• While this presented us with a pedagogical opportunity for our committee, it also made us realize the importance of having these conversations.
RED FLAGS to watch for

- Making references to examples that can not be linked directly to their efforts alone
- Exhibiting a failure to understand systemic issues and institutional practices that disadvantage and/or exclude people of color
- Failing to illustrate experience in addressing systemic issues and developing and implementing solutions.
- We are both ethnographers and true to form, we developed three tropes that kept popping up in our review and discussion of diversity statements: diversity by proxy; personal stories of redemption; and exceptionalist arguments
Problematic Tropes

- Diversity by proxy
- Personal stories of redemption
- Exceptionalist arguments
Diversity by Proxy

- Diversity by proxy is when candidates borrow from the success of others or some organization or program.

- Candidates speak specifically about their department’s student demographics or a program for students of color that they direct, are a part of, or that they appreciate. “______ (university’s name) is one of the most diverse campuses in the country, we are ____% white, ____% Latinx, ____% Asian/Pacific Islander, ____% African American.”

- This is the candidate taking credit for work that is not their own.
Examples: Diversity by Proxy

- “I’m a faculty mentor for the McNairs Scholars program and we have had wonderful, bright students who just need intense mentorship.”

- The message of “I support success for people of color” can be followed by surprise and self-congratulation. “We have students who do very well, one or two have even gone on to graduate school at very good schools! One of my students, from Chicago, a first generation student from a single parent household, is a first-year PhD student at Berkeley.”

- We called this Diversity by proxy because the candidate’s example relies on numbers that tell us about where they are and not who they are or what they have done. And secondly, they are borrowing identity/status/achievement by linking themselves to the success stories of students of color and/or faculty. In this way, they give undue credit to themselves as a savior.
Personal Stories of Redemption

- Candidates write of personal experiences that have occurred outside of the academy and are meant to reflect their appreciation for diversity and inclusion and their dissatisfaction with racism.

- They may write about an event that solidified their understanding of privilege - “I grew up in a small town where there was only one Indian family and one of the girls from that family became a close friend. And then, in the 6th grade, everything changed. She and I both auditioned for the school play, Annie, and it was clear that another girl got the lead because she was white and looked the part. But my friend was clearly better than everyone else. I felt bad for her but there was nothing I could do. And that is why I really feel so strongly about racism and exclusion and do what I can to help students of color.”

- They may also talk about how they work with and learn so much from their colleagues of color and students of color. The focus is on their feeling and how they assuage their feelings of social injustice by their engagement, but does this lead to fighting structural issues found in the academy? The playing field is never level and so what do they do for those who they do not deem “clearly better?”
The Exceptionalist Argument

- Candidates also write that they are in favor of diversity and inclusion but they have not been in a position to fight against exclusionary practices.

  - EX: “Diversity is important, but I can’t do it because my discipline is based on dead white men.” Or “I believe in diversity, but I have not been in a leadership position where I might make decisions. I would be supportive if there were some people of color.”

- The exceptionalist argument suggest that impact can only be made from certain positions, thereby exonerating most people who do not go against the grain. This obscures the roles that all faculty play in maintaining the status quo and contributing in small and large ways to discriminatory practices and negative outcomes for faculty, staff and students of color.

- Bias can lead to mis-assessing students, even creating unequal learning conditions.
What to Look For - Key Frameworks

- Diversity as a Strategy
- Evidence of Addressing Structural Challenges
- Recognition or Underscoring of Invisible Labor
- Demonstrated Enlightened Mentoring
Diversity as a strategy

- Creating a plan, rather than simply doing an action, resulting in systemic change
  - EX 1: There are interlocking issues - food insecurity is connected to student learning, impression management with professors, matriculation and well being.
  - EX 2: A candidate may have buttressed student support with financial and social support, mentoring, and even made changes to policies that excluded certain people groups based on criteria that is unnecessary.
- The strongest statements are those where candidates articulate how diversity is used centrally in re-thinking budget, curriculum, and/or access.
Evidence of Addressing Structural Challenges

- Related to the point above, strong diversity statements include examples of candidates advocating for structural changes. They show that they recognize and make systemic changes to address this.

  - EX 1: Candidates can write about “white space” and how they have educated others and implemented new practices that go against the status quo.

  - EX 2: They may have found systemic holes and problems that have disparate effects on women of color.

  - EX 3: They may have counteracted systemic and institutionalized practices. For instance, strong candidates mention noticing varying language (for example, different adjectives) in the evaluations of faculty, staff and students of color.
Recognition or underscoring of invisible labor

- Supporting faculty and staff of color must be multifaceted.
- It is widely known and acknowledged that faculty of color have different experiences - they are counted on to take on certain service because they are a person of color; students of color look to them more than to white colleagues; and they face student racism.
- Look for this as evidence of contributions to service that are often rendered invisible
Demonstrated Enlightened Mentoring

- Mentors who are:
  - “woke” to and address structural challenges,
  - who use diversity as a strategy,
  - who recognize/underscore the invisible labor and challenges of faculty, staff, and students of color.

They will mentor in ways that have longer term impacts and that mitigate exclusion and discriminatory practices.
THANK YOU!!
Evaluating applicants

In evaluating application materials, the committee as a whole can review applications or fewer members may perform this task.

In all cases, the following guidance applies:

- Incomplete applications are not eligible for consideration.
- Only the application materials provided are used to determine if applicant meets advertised required qualifications.
- Only applicants who meet the required qualifications are eligible for further consideration.
- Applicants can be further assessed by the best mix of desired qualifications.
- Additional criteria for interview decision may include telephone screenings, reference checks, requests for additional material, etc.
Conducting interviews

- Interviews typically involve interactions with multiple employees in a variety of settings.
- Administrators or peers inside or outside the hiring unit, internal and/or external constituent groups, students, etc., may participate in the interview process in addition to the search committee and hiring authority.
- There is no minimum number of candidates that should be interviewed for a position.
- Interviews may be on campus, conducted at some other location, or by telephone.
- Develop a variety of methods to assess candidates.
Conducting interviews

- Interviews must be consistent.
  - The interview method and agenda are the same for all candidates.
  - Internal candidates are treated the same as external candidates.
  - The same basic set of questions is asked of all candidates.
  - Committee members should make every effort to attend all interviews.
  - Appoint a staff member to reach out to ascertain any special requests.

- If an applicant requests a disability accommodation to participate in an interview, contact the Office of Diversity, Equity and Inclusion.
Video

https://www.youtube.com/watch?v=xbC4Yd_aljo&feature=youtu.be
Recordkeeping requirements

• All ASU forms, written communications (whether electronic or hard copy), application material, notes, and records related to recruitment for a position (referred to as a “position file”), must be retained by the academic unit for three years from the date of hire.

• The following must be submitted to the Office of Diversity, Equity and Inclusion within 30 days of the position being filled:
  - Faculty/Academic Professional Search Plan and Advertisement Copy
  - The completed Applicant List.
  - The résumé or curriculum vitae of the person(s) hired.
Permanent residency for academic positions

Contact the International Students and Scholars Office at 480-727-4776 for resources related to hiring international faculty.

Questions about visa status or other immigration related matters should also be directed to that office.
Questions?