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Research and Sponsored Projects Manual (RSP)

Effective: 12/29/1989	Revised: 7/1/2013
	RSP 210: Misconduct in Research

Purpose

To communicate ASU's position regarding the ethical, responsible conduct and reporting of research and scholarly activity

Sources

- 42 *Code of Federal Regulations* §50, Subpart A (1989)
- US Health and Human Services, Office of Research Integrity
- Office of the Associate Vice President for Research
- Office of Research Integrity and Assurance (ORIA)

Applicability

Faculty, academic professionals, classified, administrative and university staff, and students

Policy

As an institution devoted to the creation of new knowledge through research and scholarship, ASU is committed to maintaining the integrity and truthfulness fundamental to these activities through the responsible and ethical conduct of its faculty, academic professionals, staff, and students. To this end, the university has established procedures for dealing with alleged misconduct. In addition to addressing allegations of misconduct, these procedures are intended to protect innocent researchers and scholars from harassment, to prevent interference with their academic freedom, and to protect from retribution those who report alleged misconduct. For more information, see the companion procedures.

The president, through the associate vice president for research, has assigned the initial responsibility for handling inquiry and investigations into allegations of misconduct in research to the associate vice president for research.

This policy will be supplemented, as appropriate, with the provisions of the US Health and Human Services, Office of Research Integrity Model Policies and Procedures, and other applicable federal agencies to ensure compliance with federal law and protection of those involved in the process. The Model Policy and Procedures are available at http://ori.hhs.gov/policies/model_policy.shtml.

Additional Information

To view the ABOR policy on "Protection of Employees from Reprisal for Whistleblowing," go to <https://www.azregents.edu/board-committees/policy-manual>. Click on Chapter 6, number 914.

Cross-References

For standards of ethics and conduct, see the *Academic Affairs Policies and Procedures Manual*:

1. [ACD 204-01](#), "Code of Ethics"
2. [ACD 204-02](#), "Standards of Professional Conduct for Faculty Members and Academic Professionals."
and
3. [ACD 204-07](#), "Whistle-Blowing and Personnel Actions."

